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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : John F. Cooper et al

Attorney Docket No.: IL-11267

Serial No. : 10/810,477

Art Unit: 1745

Filed : March 26, 2004

Examiner: Tony Sheng Hsiang Chuo

For : AEROGEL AND XEROGEL COMPOSITES USE AS CARBON ANODES

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Alexandria, Va 22313-1450

Dear Sir:

Forwarded herewith is an Information Disclosure Statement and Form SB/08A for the above-identified application. Copies of the cited references are enclosed: 3 US patent documents, 3 foreign patent documents, 1 non-patent literature document. Each document contained in this Information Disclosure Statement was first cited in an International Search Report in a counterpart foreign application received not more than 3 months prior to the filing of this Information Disclosure Statement.

The above-mentioned disclosures, which were not admitted as prior art, are identified on the enclosed Form SB/08A.

**If any fees are required, please charge Deposit Account 12-0695.**

Enclosures:

As noted above

Dated Aug 8, 2006

Respectfully submitted,

Alan H. Thompson  
Attorney for Applicants  
Registration No. 29.981

**Complete if Known**

Application Number	10/810,477
Filing Date	March 26, 2004
First Named Inventor	John F. Cooper
Art Unit	1745
Examiner Name	Tony Sheng Hsiang Chuo
Attorney Docket Number	IL-11267

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

Sheet	1	of	2
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## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		DE 195 34 382	01-09-1997	Fricke		
		JP61292859 Abstract	12-23-1986	Tadanori		
		WO 03/049215	06-12-2003	Maleki et al		

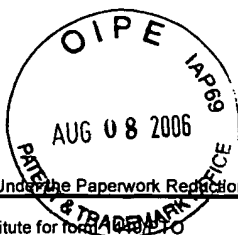
Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.**



PTO/SB/08B (07-06)

Approved for use through 09/30/2006. OMB 0651-0031

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

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First Named Inventor	John F. Cooper
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Examiner Name	Tony Sheng Hsiang Chuo
Attorney Docket Number	IL-11267

Sheet 2 of 2

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Planar Fibre Reinforced Carbon Aerogels for Application in PEM Fuel Cells, R. PETRICEVIC, et al. CARBON, vol 39, no. 6, May 2001, pages 857-867	

Examiner Signature	Date Considered
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EXPRESS MAIL CERTIFICATE

"Express Mail" label number EV769101731US

Date of Deposit Aug. 8, 2006

I hereby certify that the ~~attached~~ correspondence comprising:

1. Information Disclosure Statement
2. Form SB/08A, Information Disclosure Statement by Applicant and copies of 3 US. patent documents, 3 foreign patent documents and 1 non-patent literature document.
3. Express Mail Certificate
4. Return Postcard

is being deposited with the United States Postal Service "Express Mail Post Office to addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Nancy J. Stone

Lawrence Livermore National Laboratory

Nancy J. Stone

(Signature of person mailing paper)